

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>( Not for submission under 37 CFR 1.99)</small>	Application Number		10733655
	Filing Date		2003-12-11
	First Named Inventor		Michael Alagna
	Art Unit		2167
	Examiner Name		Unknown
	Attorney Docket Number		6002-00701

U.S. PATENTS						<input type="button" value="Remove"/>
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
1	5121345			1992-06-09	Lenz	
2	5919257			1999-07-06	Trostle	
3	5956481			1999-09-21	Walsh, et al.	
4	6671812			2003-12-30	Balasubramaniam, et al.	
5	7010698			2002-06-22	Sheymov	
6	6836272			2004-12-28	Leung, et al.	
7	7331062			2008-02-12	Alagna, et al.	
8	6182227			2001-01-30	Blair, et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10733655
Filing Date	2003-12-11
First Named Inventor	Michael Alagna
Art Unit	2167
Examiner Name	Unknown
Attorney Docket Number	6002-00701

9	6330588	2001-12-11	Freeman	
10	6523120	2003-02-18	Strasnick	
11	5440723	1995-08-08	Arnold, et al.	
12	5537540	1996-07-16	Miller, et al.	
13	5802277	1998-09-01	Coward	
14	6088804	2000-07-11	Hill, et al.	
15	6272641	2001-06-07	Ji	
16	6266774	2001-07-24	Sampath, et al.	
17	6393568	2002-05-21	Ranger, et al.	
18	6792543	2004-09-14	Pak, et al.	
19	6859833	2005-02-22	Kirsch, et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10733655
Filing Date	2003-12-11
First Named Inventor	Michael Alagna
Art Unit	2167
Examiner Name	Unknown
Attorney Docket Number	6002-00701

20	6466966	2002-10-15	Kirsch, et al.	
21	6438125	2002-08-20	Brothers	
22	5870546	1999-02-09	Kirsch	
23	7072944	2006-07-04	Lalonde, et al.	
24	6785732	2004-08-31	Bates, et al.	
25	7096500	2006-08-22	Roberts, et al.	
26	6654787	2003-11-25	Aronson, et al.	
27	5999932	1999-12-07	Paul	
28	6052709	2000-04-18	Paul	
29	6023700	2000-02-08	Owens, et al.	
30	6023723	2000-02-08	McCormick, et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10733655
Filing Date	2003-12-11
First Named Inventor	Michael Alagna
Art Unit	2167
Examiner Name	Unknown
Attorney Docket Number	6002-00701

31	6073165		2000-06-06	Narasimhan, et al.	
32	6112227		2000-06-29	Heiner	
33	6146026		2000-11-14	Ushiku	
34	6157630		2000-12-05	Adler, et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
1	20020150243			2002-10-17	Craft, et al.	
2	20020174137			2002-11-21	Wolff, et al.	
3	20030158070			2003-08-21	Mayer, et al.	
4	20050137980			2005-06-23	Bullock, et al.	
5	20040054917			2004-03-18	Obrecht, et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10733655
Filing Date	2003-12-11
First Named Inventor	Michael Alagna
Art Unit	2167
Examiner Name	Unknown
Attorney Docket Number	6002-00701

6	20040064736	2004-04-01	Obrecht, et al.	
7	20040187023	2004-09-23	Alagna, et al.	
8	20040230820	2004-11-18	Hui Hsu, et al.	
9	20040078422	2004-04-22	Toomey	
10	20020046065	2002-04-18	Nighan, et al.	
11	20020147780	2002-10-10	Liu, et al.	
12	20060053490	2006-03-09	Herz, et al.	
13	20050108339	2005-05-19	Gleeson, et al.	
14	20050108340	2005-05-19	Gleeson, et al.	
15	20060288076	2006-12-21	Cowings, et al.	
16	20020007301	2002-01-17	Reuning	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10733655
Filing Date	2003-12-11
First Named Inventor	Michael Alagna
Art Unit	2167
Examiner Name	Unknown
Attorney Docket Number	6002-00701

If you wish to add additional U.S. Published Application citation information please click the Add button							<input type="button" value="Add"/>	
<b>FOREIGN PATENT DOCUMENTS</b>							<input type="button" value="Remove"/>	
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> <sup>4</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	0203178	WO		2002-01-10	INTERNET SECURITY SYSTEMS INC (US)		<input type="checkbox"/>
	2	04021197	WO		2004-03-11	WHOLESECURITY INC (US)		<input type="checkbox"/>
	3	04055632	WO		2004-07-01	WHOLESECURITY INC (US)		<input type="checkbox"/>
	4	2004072777	WO		2004-08-26	WHOLESECURITY INC (US)		<input type="checkbox"/>
	5	02103533	WO		2002-12-27	WORLDCOM INC (US)		<input type="checkbox"/>
	6	0375138	EP		1990-06-27	IBM (US)		<input type="checkbox"/>
	7	0420779	EP		1991-04-03	IBM (US)		<input type="checkbox"/>
	8	0720333	EP		1996-07-03	AT & T CORP (US)		<input type="checkbox"/>
	9	2271002	GB		1994-03-30	DIGITAL EQUIPMENT INT (CH)		<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10733655
Filing Date	2003-12-11
First Named Inventor	Michael Alagna
Art Unit	2167
Examiner Name	Unknown
Attorney Docket Number	6002-00701

	10	9635994	WO		1996-11-14	COMPUSERVE INC (US)		<input type="checkbox"/>
--	----	---------	----	--	------------	---------------------	--	--------------------------

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	<input type="checkbox"/> T6
	1	U. S. Patent Application Serial Number 10/117,065, entitled "APPARATUS AND METHOD FOR WEIGHTED AND AGING SPAM FILTERING RULES", by Aronson, et al., filed on April 4, 2002.	<input type="checkbox"/>
	2	U. S. Patent Application Serial Number 10/871,583, entitled "System and method for filtering spam messages utilizing URL filtering module", by Cowings, et al., filed on June 17, 2004.	<input type="checkbox"/>
	3	U. S. Patent Application Serial Number 11/116,572, entitled "Method and apparatus for creating aggressive anti-spam rules", by Chin, et al., filed on April 27, 2005.	<input type="checkbox"/>
	4	U. S. Patent Application Serial Number 11/127,814, entitled "Method and apparatus for detecting spam in email messages and email attachments", by Cowings, filed on May 11, 2005.	<input type="checkbox"/>
	5	U. S. Patent Application Serial Number 11/157,327, entitled "Method and apparatus for grouping spam email messages", by Jensen, filed on June 20, 2005.	<input type="checkbox"/>
	6	U. S. Patent Application Serial Number 11/048,958, entitled "Method and apparatus for determining the source of an email message", by Mantel, filed on February 1, 2005.	<input type="checkbox"/>
	7	U. S. Patent Application Serial Number 11/127,813, entitled "Method and apparatus for simulating end user responses to spam email messages", by Khalsa, et al., filed on May 11, 2005.	<input type="checkbox"/>
	8	Gaskin, J.E., "Don't get spammed", Information Week, August 18, 1997, retrieved from Internet: <a href="http://www.informationweek.com/644/44olspm.htm">http://www.informationweek.com/644/44olspm.htm</a> , 9 pages.	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10733655
Filing Date	2003-12-11
First Named Inventor	Michael Alagna
Art Unit	2167
Examiner Name	Unknown
Attorney Docket Number	6002-00701

9	RANUM, ET AL.; "Implementing a generalized tool for network monitoring"; Proceedings of the 11th Systems Administration Conference; San Diego, CA; Oct. 26-31, 1997; pp. 1-8.	<input type="checkbox"/>
10	U. S. Patent Application Serial Number 10/949,465, entitled "System and method for filtering fraudulent email messages", by Bruno, et al., filed on September 24, 2004.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button [Add](#)

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.